Yale undergraduates are called before the Executive Committee of Yale College when they are charged with possible violations of the Undergraduate Regulations.

**Dispositions without a formal hearing:**

If they are willing to admit the validity of the charge, they may petition to have a disposition without a formal hearing and come before the Coordinating Group. The Coordinating Group is composed of the Committee’s three officers, (the chair, a fact-finder and the secretary of the committee), along with a Yale undergraduate. In a question and answer dialogue with the student, followed by a comment from the student’s adviser (usually his or her residential college dean), the Coordinating Group does its best to grasp the detailed circumstances of the violation as well as the current state of mind of the student with respect to the past event, which might in turn be an indicator of future behavior. The penalty, however, is based solely on the violation. We may dismiss the charges, but more often we hand down a penalty: reprimand, probation, suspension, or expulsion. The penalty may also include a fine, if warranted, and is often accompanied by an additional task, which we assign in order to complete the act of making amends or to make certain that the students understand not just that they have received a penalty, but also why.

We had 52 dispositions without formal hearings in the Fall, involving 59 students. Five students were suspended, 4 received probation, 48 received reprimands and charges against two of them were dismissed.

**Plagiarism:** There were seven cases that involved plagiarism and the penalties ranged from reprimand (1), to probation (1), to suspension (4). Another student was reprimanded for seeming to seek help from a tutor on a take-home examination.

**Hazing:** We had several cases of hazing by groups.

*We saw the full range of acts of poor judgment, very often without malicious intent but occasionally with either a will to destructive behavior or blindness as to its implications:* trespassing, property damage, misuse of e-mail (including falsification of the sender’s name), illegal computer downloading, interpersonal harassment and aggression, both verbal and physical.

**Many of the charges involved violations of alcohol regulations:** buying or consuming alcohol while still a minor, falsification of ID to do so, serving alcohol to people under twenty-one. The saddest cases, of course, involved alcohol consumption to the point of serious self-endangerment.
Referred Cases:

There were also cases involving 56 students whom we did not see but were referred directly to the residential college. Most of these students were intoxicated, and most were transported to YNHH or University Health Services.

Full Hearings:

Although the vast majority of students choose the disposition, a student who wishes to contest the charge may also wish to come instead before the entire committee. The full committee is composed of three tenured and three untenured faculty members, three undergraduates and the Dean’s designate. The secretary of the committee also attends full hearings, but is not a voting member. We had no such hearings in Fall of 2011.

Penalty Hearings:

Students may also choose to come before the full committee if, after having had a disposition without a formal hearing, they wish to dispute the penalty. In the single penalty hearing of last semester, the two term suspension was overturned and the student was subsequently suspended for a single term.

Conclusion:

In his 2006-2007 report, Paul Bloom wrote that “most cases that the committee sees are caused by foolishness, inattention, inebriation, or honest mistakes.” I affirm that opinion. Most of the students we see are individuals of high integrity atoning for a poor choice. It is our hope that the students who come before us leave the room with the sense that their penalty is just and that they have learned more about themselves from the experience.

A few brief suggestions for action on our part that might decrease the instances of certain types of cases would be:

- More intensive and detailed reminders about the misuse of alcohol and its procurement would be of great service to Yale.

- Dean Miller has rightfully recognized the seriousness of hazing. Even more education about hazing and specifically why it is reprehensible might be helpful.

With respect to plagiarism as well, repeated emphasis on its precise definition and how to avoid it (perhaps through e-mails sent out every semester) might, I think, spare a few well-intentioned but poorly informed students from appearing before our committee.
Thanks:

My thanks go to Margaret Clark. Having served several years on the committee before being appointed as chair, I benefited from observing the kindness, calm, respect and evenhandedness with which she officiated. (For an exquisitely detailed description of the committee’s function, see her report from 2010-2011).

My special thanks to the hardworking members of the entire committee. The full hearings are long and difficult, I know.

I have the honor to work side-by-side in the Coordinating Group with Professor Eric Sargis, our fact-finder, and Pamela George, the Secretary of the Committee. Eric Sargis’s energy, precision, sense of fairness, intelligence, and time-demanding dedication to the job are endless. There are no sufficient words to praise Pamela George’s equal devotion to the integrity of Yale University and the well-being of its students. I am thoroughly in her debt for her painstaking attention to detail, her clarity, her great wisdom, and her untiring insistence on justice—her insistence that we get it just right. It is the wonderful insights and the specialized knowledge and understanding of the undergraduates serving alongside us, that so critically helps us to do so. Profound thanks as well to those working behind the scenes: to Lisa Miller whose meticulous work keeps the complex paperwork (and us) organized; to Susan Sawyer in the General Counsel’s office, and to Dr. Lorraine Siggins and others we consult with regard to the mental health issues that face us. Each student brings an adviser along, usually the dean of his or her college. The Executive Committee lives with the problems of individual students once a week. The deans are there for them 24/7 with compassion, patience and wisdom that truly contribute to Yale’s greatness. You all have my heartfelt thanks and admiration.

Carol F. Jacobs
Chair of the Yale College Executive Committee 2011-2012
Professor German Language and Literature
Birgit Baldwin Professor of Comparative Literature
Fall 2011 Penalty Hearing and Dispositions without a Formal Hearing

PH
In a penalty hearing, Senior, charged with Harassment, Drugs, Misconduct During a Formal Hearing or Disposition, Defiance of Authority & Imperiling the integrity of the university, had earlier decision of 2 terms suspension overturned and was suspended for 1 term.

DWFH
Two students charged with Defiance for arguing with a residential college dean and refusing to identify themselves to 2 residential college deans on Cross Campus had charges withdrawn. Sophomore, charged with violating alcohol regulations for multiple transports to YNHH for alcohol intoxication, was reprimanded. Sophomore, charged with Defiance and violations of alcohol regulations for jumping the barricades multiple times at Spring Fling on Old Campus, was reprimanded. Freshman, charged with cheating for asking a residential college tutor to help her complete answers to an exam, was reprimanded. Junior and Sophomore, charged with Trespassing & Hazing for activities in the pulpit of the Divinity School chapel, were reprimanded and required to submit a plan that will comply with the Undergraduate Regulations. The plan was shared with all members of their society. Junior, charged with cheating for plagiarizing a final paper, was suspended for 1 term. Sophomore, charged with academic dishonesty for plagiarizing a final paper, was put on probation for 2 terms. Junior, charged with Trespassing in the rear of the Yale University Art Gallery, was given probation for the remainder of her time at Yale. Freshman, charged with Falsification of Documents and Unauthorized Use of Services for sending a fake email from Chief Ronnell Higgins sent to all Yale students, was put on probation for 2 terms and required to apologize to Chief Higgins. Sophomore, charged with Defiance for rudeness to a dining hall worker and refusing to meet with his residential college dean or master, was put on probation for 2 terms and required to send a letter of apology to dining hall worker and dining hall manager. Senior, charged with imperiling the integrity of the university for sending an improper email to Pierson students, was reprimanded. Junior, charged with Defiance and violating Fire Safety regulations for disorderly conduct when asked to move from the area of Toad's Place on York Street during a fire alarm, was reprimanded. Freshman charged with Acts of Violence, Drugs, Alcohol and Defiance of Authority for smoking marijuana in his room, threatening his roommate while drunk and disregarding authority, was suspended for 2 terms. Student must apply for readmission. Senior, charged with violating Use of Computer Services for illegally downloading and sharing music, was reprimanded. Junior, charged with violating alcohol regulations for alcohol intoxication at 261 Park Street, was reprimanded. Senior, charged with Harassment, Drugs, Misconduct During a Formal Hearing or Disposition, Defiance of Authority & Imperiling the integrity of the university, was suspended for 2 terms
and apply for readmission.

Two freshman, charged with Trespassing for taking a sign from a construction site in a Yale parking lot at 260 Whitney Ave, were reprimanded.

Senior, charged with violating alcohol regulations and Defiance for repeatedly not following rules of serving beer from kegs in his UHaul including serving beer to minors at the Yale bowl parking lot, was reprimanded and required to submit a plan that will comply with Undergraduate Regulations. The plan was shared with all members of the student’s fraternity.

Sophomore, charged with Harassment, Intimidation and Defiance for acts of harassment and intimidation to other undergraduates, was reprimanded.

Freshman, charged with Trespassing for trying to get on the Sterling Memorial Library roof by picking the lock to Roof Cage Door and setting off the alarm, was reprimanded and fined.

Two Seniors and a Junior charged with Harassment and Intimidation of a freshman counselor at the bar Box 63, were reprimanded and required to send letters of apology.

Freshman, charged with Academic Dishonesty for plagiarizing a paper, was reprimanded.

Two Juniors, charged with violating alcohol regulations for serving alcohol at an event at a cultural house, were reprimanded and required to step down from leadership positions in the organization.

Senior, charged with Acts of Violence for threatening another undergraduate, was reprimanded.

Senior and Sophomore, charged with Imperiling the integrity of the university and trespassing for illegal rock climbing were reprimanded.

Senior and a Junior, charged with Trespassing for going on the roof of the Art Gallery, were reprimanded.

Two Seniors, charged with Offenses Compromising Fire Safety for setting a wood fire on the porch of their house off-campus were reprimanded.

Freshman, charged with violating Drug regulations for smoking marijuana in residential college courtyard, was reprimanded.

Junior, charged with violating alcohol regulations for possession of alcohol by minors at Box 63, was reprimanded.

Sophomore, charged with violating alcohol regulations, falsification of documents and defiance for not cooperating with police when found to possess alcohol while underage and using a false i.d. at 1 Tower Parkway, was reprimanded.

Senior, charged with violating alcohol regulations after being found intoxicated in Silliman College and transported to YNHH by AMR, was reprimanded.

Junior, charged with violating alcohol regulations and defiance for creating a disturbance at Yale Health while intoxicated, was reprimanded.

Sophomore, charged with Willful Property Damage and Trespassing for damaging the wooden gate arm in the rear parking lot of PWG and overturning garbage cans along Lake Place, was reprimanded and fined.

Two Junior officers of a fraternity, charged with violating alcohol regulations for serving alcohol to minors off-campus, were reprimanded and required to present a plan to the Executive Committee and YPD to comply with social functions regulations. Plan shared with all members of fraternity.

Freshman, charged with violating Drug regulations for smoking marijuana outside of Vanderbilt Hall, was reprimanded.

Freshman, charged with violating alcohol regulations for possession of alcohol by a minor and
purchasing alcohol with a fake i.d. at Grove & Whitney, was reprimanded. 
Junior, charged with violating alcohol regulations and Falsification of Documents for possession of alcohol by a minor and purchasing alcohol with a fake i.d. at Broadway Liquor, was reprimanded.
Junior, charged with cheating for plagiarizing a paper, was suspended for 1 term.
2 Sophomores, charged with Acts of Violence and Harassment and Intimidation for fighting were reprimanded.
Sophomore, charged with violating alcohol regulations and Falsification of Documents for possession of alcohol by a minor at Broadway Liquor on Dixwell Ave, and using a false i.d., was reprimanded.
Sophomore, charged with Harassment for sending a harassing email to another undergraduate, was reprimanded.
Freshman, charged with Academic Dishonesty for plagiarizing a paper, was reprimanded.
Sophomore, charged with violating Drug and Smoking regulations for an odor of marijuana coming from his room in residential college, was reprimanded.
Sophomore, charged with violating Computer Services regulations for illegal downloads, was reprimanded.
Senior, charged with violating Computer Services regulations for illegal downloads, was reprimanded.
Senior, charged with violating Computer Services regulations for illegal downloads, was reprimanded.
Sophomore, charged with violating alcohol regulations and Falsification of Documents for purchasing beer with a false i.d. at the corner of Broadway and Tower Parkway, was reprimanded.
Junior, charged with Academic Dishonesty for plagiarizing a final paper, was suspended for 2 terms.

Fall 2011 Referrals

Freshman, 19 y.o., found intoxicated in front of Lawrence Hall. AMR Transport to YNHH.
Sophomore, 20 y.o. found intoxicated at 29 Whalley Ave. AMR Transport to YNHH.
Freshman, 18 y.o. found intoxicated at Lawrence Hall. AMR Transport to YNHH.
Senior found intoxicated in the courtyard of Ezra Stiles College. AMR Transport to YNHH.
Freshman, 18 y.o., found intoxicated by the Davenport College gate. AMR Transport to YNHH.
Sophomore, 19 y.o., found intoxicated in Morse College. AMR Transport to YNHH.
Sophomore, 20 y.o., referred and reprimanded for violation alcohol regulations for consuming alcohol while underage at the Yale Bowl parking lot.
Freshman found intoxicated at Phelps Gate. AMR Transport to YNHH.
Freshman found intoxicated in front of 31 High Street. AMR Transport to YNHH.
Freshman found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Freshman found intoxicated in Yale Commons at the Safety Dance. Transport by Yale
Security to UHS.
Freshman found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Freshman found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Freshman found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Junior found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Sophomore found intoxicated in Yale Commons at the Safety Dance. AMR Transport to YNHH.
Sophomore found intoxicated in Yale Commons at the Safety Dance. Transport by Yale Security to UHS.
Freshman found intoxicated outside 120 High Street, Rose Walk. AMR Transport to YNHH.
Freshman found intoxicated in Lawrence Hall. AMR Transport to YNHH.
Senior found intoxicated outside of the Yale Health Center. Directed into the center by Yale Security.
Freshman found intoxicated at 236 York Street. Transport to YUHS by Yale Security.
Junior found intoxicated in Pierson College. AMR determined that student did not need to be transported to YNHH.
2 Juniors and 2 Sophomores referred and reprimanded for violating alcohol regulations for possession of alcohol by minors at Box 63.
Sophomore, 20 y.o., found intoxicated at 29 Whalley Avenue. AMR Transport to YNHH.
This location is the Zeta Psi fraternity house.
Senior, 22 y.o., found intoxicated at Woolsey Hall. Yale Security transport to UHS, but student declined treatment and left.
2 Juniors referred to their residential colleges and reprimanded for possession of alcohol by a minor on Dixwell Avenue.
2 Juniors referred to their residential colleges and reprimanded for possession of alcohol by a minor while leaving Broadway Liquor store.
Freshman referred to his residential college and reprimanded for violating alcohol regulations for possession of alcohol by a minor at Grove & Whitney.
Freshman, 18 y.o., found intoxicated on the front steps of the Yale Art Gallery. Yale Security transport to UHS.
Junior, 21 y.o., found intoxicated at the corner of Tower Parkway & Ashmun Streets where he was almost struck by traffic. Yale Security transport to UHS.
Senior, 21 y.o., found intoxicated at the corner of York & Crown Streets. Yale Security transport to UHS.
Sophomore referred to his residential college and reprimanded for violating alcohol regulations for possession of alcohol by a minor at Broadway Liquor on Dixwell Ave.
Sophomore referred to his residential college and reprimanded for violating alcohol regulations for possession of alcohol by a minor on Whitney Avenue outside of Odd Bins Wine Store.

Sophomore, 19 y.o., found intoxicated in Branford College. No transport needed.
Freshman, 18 y.o., found intoxicated in front of Payne Whitney Gym. Transported by Yale Security to University Health Services.
Sophomore, 19 y.o., found intoxicated in Saybrook College. Transported by Yale Security to University Health Services.
Junior, 20 y.o., found intoxicated in Timothy Dwight College. Transported by Yale Security to University Health Services.
Freshman, 18 y.o., found intoxicated in Lanman Hall. Transported by Yale Security to University Health Services.
Freshman, 18 y.o., found intoxicated in Lanman Hall. AMR Transport to YNHH.
Sophomore found intoxicated in Timothy Dwight College. AMR Transport to YNHH.
Sophomore, 18 y.o., found intoxicated in Branford College. AMR Transport to YNHH.
Sophomore, 18 y.o., found intoxicated in Swing Dorm. Transported by Yale Shuttle to University Health Services.
Senior, 21 y.o., found intoxicated in Silliman College. Transport not needed.
Freshman, 19 y.o., found intoxicated in Welch Hall. Transported by Yale Transit to University Health Services.
Senior, 21 y.o., found intoxicated and not cooperating with Yale Health staff members at University Health Services. AMR Transport to YNHH.
Sophomore, 19 y.o., found intoxicated in Saybrook College. AMR Transport to YNHH.
Sophomore, 19 y.o., found intoxicated between Branford and Saybrook College. AMR Transport to YNHH.
Freshman, 18 y.o., found intoxicated in Lanman Hall. Transported to University Health Services by Yale Security.
Freshmen reprimanded and referred for violating alcohol regulations for possession of beer outside Broadway Liquor on Dixwell Ave.
Junior, 20 y.o., found intoxicated on College Street. AMR Transport to YNHH.
Sophomore, 20 y.o., found intoxicated in Jonathan Edwards College. AMR transport to YNHH.